

Investor Rights Summary – Marshall Wace UCITS Funds PLC (the “Company”)

This document is intended as a summary of your key rights as a Shareholder in the Company for the purposes of the European Union Cross Border Distribution Regulation (Regulation (EU) 2019/1156). It is not intended to be and should not be considered as an exhaustive list of all rights which shareholders may have in respect of the Company.

Entitlement to receive certain information relating to your investment in the Company

As a Shareholder in the Company, a copy of the latest annual and semi-annual financial statements of the Company are available to you on the Marshall Wace UCITS Funds PLC website or a paper copy can be obtained by you from the Administrator free of charge upon request.

Except where the determination of the Net Asset Value has been suspended, the Net Asset Value per Share will be published weekly at <http://ucitsfunds.mwam.com> and updated following each calculation of Net Asset Value. In addition, the Net Asset Value per Share may be obtained free of charge from, and will be available at, the offices of the Administrator or the Investment Manager or the Paying Agent during normal business hours.

The Prospectus is also available on the Marshall Wace UCITS Funds PLC website.

Material changes to certain information contained in the Prospectus provided to you before you invested in the Company shall be notified to you in accordance with applicable regulatory requirements.

Entitlement to participate in the general meetings of the Company

As a Shareholder of the Company, you are entitled to participate in the general meetings of Shareholders of the Company.

Entitlement to redeem your interest in the Company

Shareholders in the Company are entitled to redeem their shareholding and receive the redemption proceeds relating to such Shares within the timeframe and subject to such conditions as detailed in the Prospectus.

Entitlement to make a complaint

As a Shareholder in the Company, you are entitled to make a complaint free of charge. Any such complaint must be handled by the Management Company promptly and effectively. Further information on the Management Company’s complaints policy is available at https://www.generalinvestments.com/uploads/2021/06/bbddb9ff2b3083bc1cdfb4bde48c5d66/complaints-handling-policy-statement_jun2021.pdf.

Depending on the type of investor you are, you may be eligible to make a complaint through the out-of-court complaint resolution procedure offered by the Financial Services and Pensions Ombudsman. This is available to certain types of consumers who have not received a satisfactory answer from the Management Company within one (1) year. The Financial Services and Pensions Ombudsman may be contacted by mail (Financial Services and Pensions Ombudsman, Lincoln House, Lincoln Place Dublin 2, DO2 VH29, Ireland), email info@fspo.ie or by completing the form available on their website <https://www.fspo.ie/complaint-form.aspx>.

Investor rights against the Company and service providers of the Company

As a Shareholder in the Company, you have a right of action against the Company for any breach of contract.

Shareholders in the Company do not have any direct contractual rights against any service provider appointed in respect of the Company because of the absence of a direct contractual relationship between the Shareholder and the relevant service provider. Instead, the proper plaintiff in an action in respect of which a wrongdoing is alleged to have been committed against the Company or the Management Company by the relevant service provider is typically the Company or the Management Company as applicable.

Notwithstanding the foregoing, a Shareholder has a regulatory right of action to pursue the Depositary which has been appointed by the Company in respect (i) any loss of an asset held in the custody of the Depositary or any delegate of the Depositary or (ii) of any other losses caused by the Depositary's negligent or intentional failure to properly fulfil its obligations under applicable regulations provided that this does not lead to a duplication of redress or to unequal treatment of Shareholders in the Company.

Redress Mechanism

A collective redress mechanism, as required under Directive (EU) 2020/1828, must be transposed into Irish law by 25 December 2022 and implemented by 25 June 2023.

In the meantime, and in the absence of any specific legal or regulatory mechanisms, you may refer to the website of the Central Bank of Ireland by following this link: <https://www.centralbank.ie/>

General

It should be noted that this summary addresses rights conferred on Shareholders under the applicable legislation governing the operation of the Company in which you are invested.

The ability to exercise various rights may depend on how you have invested in the Company and whether you are a Shareholder on the Company's shareholder register or whether you have acquired your interests through an intermediary. This may affect your ability to exercise some of the rights set out above.

You may also be afforded rights under other legislation or regulatory frameworks which are not addressed above, including for example, your rights as a data subject under Regulation 2016/679.